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Request
For
Continued Examination (RCE)
Transmittal

| | |
|------------------------|-------------------|
| Application Number | 09/899,481 |
| Filing Date | July 5, 2001 |
| First Named Inventor | Zhimin Liu et al. |
| Group Art Unit | 2872 |
| Examiner Name | Lee A. Fineman |
| Attorney Docket Number | 13854-032001 |

This is a Request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application.
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 C.F.R. §1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s)

- a. ☐ Previously submitted. If a final Office action is outstanding, any amendment filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- ii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other _____

2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. §1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. §1.17(i) required)
- b. ☐ Other _____

3. **Fee** The RCE fee under 37 C.F.R. §1.17(e) is required by 37 C.F.R. §1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 06-1050 (13854-032001)
- i. ☐ RCE fee required under 37 CFR 1.17(e)
- ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☒ Other Any deficiencies

11/17/2003 AMONDAF1 00000131 09899481
01 FC:1801 770.00
02 FC:1201 86.00
03 FC:1202 18.00

- b. ☒ Check in the amount of \$874 enclosed

- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

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SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED

| | | | |
|-------------------|-------------------------|-----------------------------------|--------------|
| Name (Print/Type) | Mark D. Kirkland | Registration No. (Attorney/Agent) | 40,048 |
| Signature | <i>Mark D. Kirkland</i> | Date | Nov 11, 2003 |

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

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|-------------------|-------------------------|------|-------------------|
| Name (Print/Type) | Carlos A. Brasil | Date | November 11, 2003 |
| Signature | <i>Carlos A. Brasil</i> | | |



Attorney's Docket No.: 13854-032001 / OPLINK-0106

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Zhimin Liu et al.

Art Unit : 2872

Serial No. : 09/899,481

Examiner : Lee A. Fineman

Filed : July 5, 2001

Title : LOOP OPTICAL CIRCULATOR

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PRELIMINARY MENDMENT

This listing of claims replaces all prior versions and listings of claims in the application:

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Carlos A. Brasil

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